

A convenient and versatile approach to 2,3-dihydro-4*H*-pyran-4-ones via tandem aldol reaction-conjugate addition

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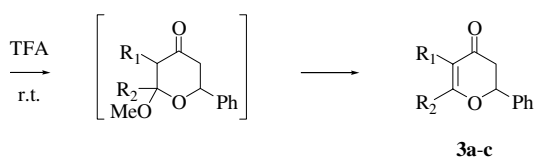
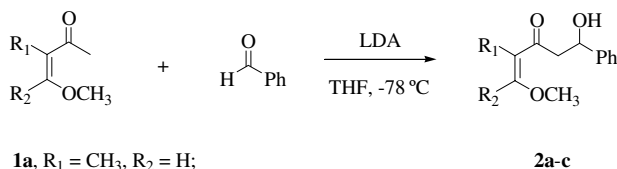
Abstract—Aldol reaction of enones **1a–c** with aldehydes followed by intramolecular conjugate addition proceeded smoothly to afford corresponding 2,3-dihydro-4*H*-pyran-4-ones in high yields under mild conditions.
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2,3-Dihydro-4*H*-pyran-4-ones are highly versatile synthetic intermediates for the preparation of biologically important compounds,¹ such as carbohydrates,^{1a–c} antibiotics,^{1d} and toxins.^{1e–g} These compounds used to be prepared using hetero-Diels–Alder reaction of carbonyl compounds with Danishefsky's dienes² and other activated dienes³ in the last two decades. Recently, Gouverneur's group reported a novel entry to 2,3-dihydro-4*H*-pyran-4-ones by oxidative cyclization of β -hydroxyenones with Palladium(II).⁴ However, the reaction did not tolerate the presence of a substituent on the vinylic carbon adjacent to the carbonyl group, which prevented the formation of 2,5-disubstituted 2,3-dihydro-4*H*-pyran-4-ones. More recently, Winkler's group disclosed a one-step synthesis of 2,6-disubstituted 2,3-dihydro-4*H*-pyran-4-ones from β -ethoxy α,β -unsaturated lactones.⁵

Although the hetero-Diels–Alder reaction of Danishefsky's dienes provides one of the most convenient approaches to this ring system,² the preparation and purification of Danishefsky's dienes are found to be troublesome.⁶ It would be synthetically useful if Danishefsky's dienes were replaced by easily available and stable materials. Accordingly, we wish to develop an alternative protocol to synthesize these heterocycles from enones **1a–c**,⁷ the key materials for the preparation of Danishefsky's dienes (Scheme 1). The intermediates **2a–c** of these reactions were isolated and assigned as

aldol adducts by ¹H NMR analysis.⁸ They were then completely converted into the desired products **3a–c** through intramolecular conjugate addition.

Our studies were started with the reaction of enone **1a**, LDA, and benzaldehyde. Enone **1a** (45 μ L, 0.375 mmol) was added via syringe to a THF solution of LDA (2 M in THF/*n*-heptane) under nitrogen atmosphere for the formation of lithium enolate firstly.⁹ After 20 min, benzaldehyde (26 μ L, 0.25 mmol) was added, followed by stirring at the same temperature for 24 h. Then the reaction was quenched with sat. NH₄Cl (aq) and treated with TFA (200 μ L) in the same pot for 2 h at ambient temperature to yield 5-methyl-2-phenyl-2,3-dihydro-4*H*-pyran-4-one **3a**. The effects of LDA



Scheme 1.

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loading, benzaldehyde concentration, and reaction temperature were studied. When 0.8 equiv of LDA was used, 43% yield was obtained (entry 1). Increasing LDA loading to 1.6 equiv resulted in higher yields (entries 2 and 3). When 3.0 equiv of LDA was used, yield was decreased significantly (entry 4). Then, 1.6 equiv of LDA was chosen as optimum loading. When the concentration of benzaldehyde was lowered from 0.5 to 0.25 M, the yield was somewhat sacrificed (entry 5 vs 3). Encouragingly, 94% isolated yield was achieved when the concentration of benzaldehyde was increased to 1.0 M (entry 6). Further increase of the concentration to 1.25 M led to less satisfying yield (entry 7). Studies on the temperature effect revealed that $-78\text{ }^{\circ}\text{C}$ was the optimum temperature for this reaction. Raising the reaction temperature to $-45\text{ }^{\circ}\text{C}$ led to lower yield (entry 8). It was noteworthy that when the temperature was elevated to above $-10\text{ }^{\circ}\text{C}$, only trace amount of the product was observed (entries 9 and 10) (Table 1).

With these results in hand, we decided to explore the generality of this protocol. Firstly, a variety of aromatic and aliphatic aldehydes were subjected to the reaction with enone **1a**. As shown in Table 2, substituted benzaldehyde, both electron-donating groups and electron-withdrawing groups, could give good yields (entries 2–12). Fused aromatic aldehyde (entry 13) and hetero-aromatic aldehyde (entry 14) also gave high yields. More significant examples were the reactions of (*E*)-3-phenyl-2-propenal (entry 15) and *n*-hexanal (entry 16), which reacted with enone **1a** in much higher yields than with activated diene.^{6b} Cyclohexanecarbaldehyde (entry 17), which is more hindered at alpha position than *n*-hexanal (entry 16) also gave good yield as the latter did. Then, enones **1b** and **1c** were chosen to examine the tolerance of substitutes on enones. The reaction of enone **1b** with benzaldehyde proceeded smoothly to give rise to the desired product in 93% yield (entry 18). However, enone **1c** was reacted with benzaldehyde in poor yield (entry 19).

In conclusion, the present work has shown that the approach can be used for the synthesis of structurally diversified disubstituted dihydropyrone in high yields. An attractive feature of this method is the possibility to obtain these heterocycles from simple substrates,

Table 1. Optimization studies

Entry	LDA Loading (equiv) ^a	Benzaldehyde concn (M)	Temp ($^{\circ}\text{C}$)	Yield (%) ^b
1	0.8	0.5	-78	43
2	1.0	0.5	-78	69
3	1.6	0.5	-78	78
4	3.0	0.5	-78	26
5	1.6	0.25	-78	68
6	1.6	1.0	-78	94
7	1.6	1.25	-78	84
8	1.6	1.0	-45	72
9	1.6	1.0	-10	Trace
10	1.6	1.0	0	Trace

^a Based on benzaldehyde.

^b Isolated yield.

Table 2. Tandem aldol reaction-conjugate addition of enones **1a–c** with aldehydes^a

Entry	Enone	Aldehyde	Yield (%) ^b
1	1a	Benzaldehyde	94
2	1a	2-Methylbenzaldehyde	81
3	1a	3-Methylbenzaldehyde	85
4	1a	4-Methylbenzaldehyde	92
5	1a	2-Chlorobenzaldehyde	95
6	1a	3-Chlorobenzaldehyde	83
7	1a	4-Chlorobenzaldehyde	86
8	1a	2-Nitrobenzaldehyde	80
9	1a	3-Nitrobenzaldehyde	81
10	1a	3-Methoxybenzaldehyde	84
11	1a	4-Methoxybenzaldehyde	87
12	1a	4-Fluorobenzaldehyde	91
13	1a	1-Naphthaldehyde	83
14	1a	2-Furaldehyde	87
15	1a	(<i>E</i>)-3-Phenyl-2-propenal	81
16	1a	<i>n</i> -Hexanal	75
17	1a	Cyclohexanecarbaldehyde	76
18	1b	Benzaldehyde	93
19	1c	Benzaldehyde	58

^a All reactions were carried out in THF with 1.5 equiv of enones **1a–c** and 1.6 equiv of LDA at $-78\text{ }^{\circ}\text{C}$ as detail in the typical experimental procedure.¹⁰

^b Isolated yield based on aldehyde.

avoiding thus the use of activated dienes possessing one or two oxygen-containing donating groups. Further work is in progress to develop enantioselective version of this transformation.

Acknowledgments

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7. Enone **1a** was prepared according to the reported procedure: (a) Miyashita, M.; Yamasaki, T.; Shiratani, T.; Hatakeyama, S.; Miyazawa, M.; Irie, H. *Chem. Commun.* **1997**, 1787; (b) see Ref. 6c; Enone **1b** was prepared according to the reported procedure: (c) Smisson, E. E.; Voldeng, A. N. *J. Org. Chem.* **1964**, *29*, 3161–3165. Enone **1c** was prepared according to the same procedure as for **1a**.
8. ¹H NMR data of compounds **2a–c**: Compound **2a**: ¹H NMR (400 MHz, CDCl₃): δ = 7.41–7.20 (m, 6H, Ph–H and =CH), 5.20–5.17 (m, 1H, Ph–CHO), 3.96 (d, 1H, *J* = 2.4 Hz, OH), 3.84 (s, 3H, OCH₃), 2.94–2.83 (m, 2H, CH₂), 1.72 (s, 3H, =C–CH₃). Compound **2b**: ¹H NMR (600 MHz, CDCl₃): δ = 7.24–7.11 (m, 5H, Ph–H), 5.26 (s, 1H, =CH), 5.03 (m, 1H, Ph–CHO), 3.89 (d, 1H, *J* = 2.2 Hz, OH), 3.49 (s, 3H, OCH₃), 2.68 (d, 2H, *J* = 6.1 Hz, CH₂), 2.19 (s, 3H, =C–CH₃). Compound **2c**: ¹H NMR (600 MHz, CDCl₃): δ = 7.63 (d, 1H, *J* = 12.7 Hz, =CHO), 7.40–7.34 (m, 5H, Ph–H), 5.59 (d, 1H, *J* = 12.7 Hz, =CH), 5.20–5.18 (m, 1H, Ph–CH), 3.72 (s, 3H, OCH₃), 3.79 (s, 1H, OH), 2.87–2.86 (m, 2H, CH₂).
9. LDA is one of the most important and convenient Bronsted bases for the enolization of α,β-unsaturated carbonyl compounds. For examples, (a) Stork, G.; Kraus, G. A. *J. Am. Chem. Soc.* **1976**, *98*, 2351–2352; (b) Faller, J. W.; Smart, C. J. *Tetrahedron Lett.* **1979**, *20*, 4911–4914; (c) Clive, D. L. J.; Bergstra, R. J. *J. Org. Chem.* **1991**, *56*, 4976–4977; (d) see Refs. 6b, 6c, and 7a.
10. A typical experimental procedure is given for the synthesis of 5-methyl-2-phenyl-2,3-dihydro-4*H*-pyran-4-one **3a**: To a solution of LDA (200 μL, 2 M in THF/*n*-heptane, 0.4 mmol) in THF (0.25 mL) was added enone **1a** (45 μL, 0.375 mmol) via syringe at –78 °C under nitrogen atmosphere. After the mixture was stirred for 20 min, benzaldehyde (26 μL, 0.25 mmol) was added. The reaction was allowed to stir at –78 °C for 24 h, after which it was quenched with sat. NH₄Cl (aq) and treated with TFA (200 μL). After stirring for 2 h at ambient temperature, the reaction was quenched with sat. NaHCO₃ (aq) and extracted with ether (4 × 5 mL). The organic layers were dried over Na₂SO₄ and concentrated. The residue was purified by flash chromatography (petroleum ether–ether, 9:1) to yield 5-methyl-2-phenyl-2,3-dihydro-4*H*-pyran-4-one (44 mg, 94% yield), white solid, mp = 46–48 °C. ¹H NMR (600 MHz, CDCl₃): δ = 7.46–7.40 (m, 5H, Ph–H), 7.39 (s, 1H, =CH), 5.41 (dd, ³*J*(H,H) = 14.6, 3.2 Hz, 1H, Ph–CHO), 2.94–2.88 (m, 1H, CH_AH_B), 2.73–2.69 (m, 1H, CH_AH_B), 1.76 (s, 3H, CH₃). ¹³C NMR (150 MHz, CDCl₃): δ = 192.59, 159.49, 138.27, 128.80, 128.78, 126.05, 114.14, 80.99, 43.21, 10.51.